

### DECLARATION OF BENEFICIAL OWNERSHIP

This form has been issued under the Customer Due Diligence Rule No 1 of 2016 issued in terms of the Section 2(3) of the Financial Transactions Reporting Act of 2006. This form, or an approved equivalent, is required to be completed by all customers of financial institutions designated under the Acts to the best of their knowledge. The original completed and signed and witnessed version of this form must be retained by the financial institution and available to the competent authorities upon request.

Account Number		Account Name	
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Registration Number	
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Address	
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Name of Natural Person Opening the Account	
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Designation of Natural Person Opening the Account	
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**Please tick the appropriate section;**

a) I declare that I am the beneficial owner of the customer for this account.	(    )
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b) I declare that I am <b>NOT</b> the beneficial owner of the customer for this account.	(    )
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Complete the following information for all beneficial owners that **own or control 10% or more** of the customer's equity, beneficial owners on whose behalf the account is being operated, and at least one person who exercises effective control of the legal entity regardless of whether such person is already listed.

Name	Designation	PP/ ID No <sup>[iii]</sup>	Country of Issue & Citizenship	Date of Birth	Current Address	Source of Beneficial Ownership <sup>[i]</sup> (Select A, B or C)	(PEP) <sup>[ii]</sup> (Tick if applicable)

**[1] A=Equity (indicate %), B=Effective Control, C=Person on Whose Behalf Account is Operated**

**[2] Please refer section ii. under Notes in the next page**

I/ We hereby declare and confirm all details provided herein are complete, valid and accurate disclosure of the Ultimate Beneficial Owner(s) of the above account and effective and binding. Further I/we undertake to notify the Bank immediately in writing of any change in the beneficial owners of the account.

*(Shall be signed as per operating instructions of the account with rubber stamp)*

Bank Use Only;

Name

Title

Date

Signature and the Bank

**Notes**

- i. A Beneficial owner of the legal person means, a natural person who ultimately owns or controls a customer or the person on whose behalf a transaction is being conducted and includes the person who exercises ultimate effective control over a person.
- ii. Politically exposed person means an individual, who is entrusted with prominent public functions either domestically or by a foreign country, or in an international organization and includes a Head of a State or a Government, a Politician, a Senior Government Officer, Judicial Officer or military Officer, a Senior Executive of a State owned Corporation, Government or autonomous body but does not include middle rank or junior rank individual.
- iii. The account holders are responsible to provide the NIC /Passport copies/ Business Registration of the above mentioned beneficial owner with the originals at the time of submission of the declaration.