	LOW (L)		කර්යාලීය <u>(</u>							<b>யாகத்திற்</b> ( <sup>H (H)</sup>			RESUL		
Category 1 –		ucawifa/Pane	onor		MEDIUM (M) Employee Executive Government					Foreign citizen/Non resident					
Client Type	Student/Housewife/Pensioner Salaried individual of a well known company				Employee-Executive-Government					Politically exposed person (PEP)					
	Employee-Non executive-Government				Employee-Executive-Private Businessman-Proprietor/Partner					Business-Self employed individual					
	Employee-Non executive-Private				Businessman-1 reprictor/1 artifet					Individual connected to certain countries particularly where the US Office of Foreign Assets Control(OFAC) sanction applies and those in the list of Non Cooperative Countries and Territories(NCCTS).  1. Countries in OFAC sanction list will be provided.  2. Countries in NCCT list – Nil as at date.					
	Professional				Lawyer/Accountant					Dealer/trader in gem & jewellery.					
Category 2– Business/Trade /Usage	Personal/Family use				Exporter of local products					Money changers/remitters					
	Professional services/other service providers				Travel agent/Employee of a travel agency					Individual engaged in buying and selling of real estate, precious art work					
	Dealer in brand new vehicles				Importer and distributor of commercial goods					Importer/dealer in second hand motor vehicles					
	Individual retail trader				Hotelier/Owner of a restaurant/food outlet					Investors and administrators managing public funds and trusts					
	Printer & publisher				Individual engaged in construction					Individual engaged in bar/casino/gambling house/night club/safe of narcotics and dealing in arms and ammunition					
	Individual engaged in marketing and				Individual engaged in										
	advertising				telephone/communication providers										
	Small/medium workshop/repair shop				Commission agent										
	Individually owned registered nursing homes/health care centers/hospitals				Wholesale trader										
	Individual engaged in				Individual engaged in shipping, airline and										
	manufacturing/industry				freight forwarding										
	Individual	engaged in tra	nsport operations		Individual employed in club/association or a society										
Category 3– Less than Rs.1,000,000/- or equivalent in					From Rs.1.000,000/- to 3.000,000/- or					Above Rs.3,000,000/- or equivalent in foreign currency					
Furnover per month	foreign cur		or equivalent in		equivalent in foreign currency					(individuals with High net worth)					
Applicable scenar	io	1	2	3	4		5	6		7	8	9	10		
Combination (Ap		H	Н	Н	Н		Н	Н		M	M	M	L		
ach scenario)	_	H	Н	Н	M		M	L		M	M	L	L		
		H	M	L	M		L	L		M	L	L	L		
inal Risk Catego	ory	Н	Н	Н	M		M	M		M	M	L	L		
Account No			Overal gradi		Authoriz Officer's				Manager's signature						
Cust ID					-		id & signature				_				

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	LOW (L)			M	EDIUM (M)			HIGH (H	I)			RESULT		
Category 1 –	Student/Housewife/Pensioner				nployee-Executiv	ve-Government		Foreign citizen/Non resident						
Client Type	Salaried individual of a well known company				nployee-Executiv	ve-Private		Politically exposed person (PEP)						
	Employee-Non executive-Government				usinessman-Prop	rietor/Partner		Business-Self employed individual						
	Employee-Non executive-Private							Individual connected to certain countries particularly where the US Office of Foreign Assets Control(OFAC)						
									sanction applies and those in the list of Non					
									Cooperative Countries and Territories(NCCTS).					
								Countries in OFAC sanction list will be provided.						
								2. Countries in NCCT list – Nil as at date.						
	Professiona	al		La	wyer/Accountan	t		Dealer/trader in gem & jewellery.						
Category 2-	Personal/Family use				rter of local prod			Money changers/remitters						
Business/Trade /Usage	Professional services/other service				el agent/Employe	e of a travel age	ncy	Individual engaged in buying and selling of real estate,						
	providers							precious art work						
	Dealer in brand new vehicles				rter and distribut			Importer/dealer in second hand motor vehicles						
	Individual retail trader				ier/Owner of a re	estaurant/food ot	itlet	Investors and administrators managing public funds and trusts						
	Printer & publisher				idual engaged in	construction		Individual engaged in bar/casino/gambling house/night						
	1				2 2			club/safe of narcotics and dealing in arms and						
								ammunition						
	Individual engaged in marketing and				idual engaged in									
	advertising				none/communica	tion providers								
	Small/medium workshop/repair shop				mission agent									
	Individually owned registered nursing				lesale trader									
	homes/health care centers/hospitals Individual engaged in				idual engaged in	chinning cirling	and							
	manufacturing/industry				idual engaged in it forwarding	sinpping, airine	anu							
	Individual engaged in transport operations				idual employed i	n club/associatio	n or a							
	ongaged in transport sportations				ty									
Category 3–	Less than I	Rs.1,000,000/-	or equivalent in	From	Rs.1,000,000/- t	o 3,000,000/- or		Above Rs.3,000,000/- or equivalent in foreign currency						
Turnover per	foreign cur	rency	•	equiv	alent in foreign o	currency		(individuals with High net worth)						
month														
Applicable scenar	rio	1	2	3	4	5	6		7	8	9	10		
Combination (Ap		H	H	H	H	H	H		M	M	M	L		
each scenario)	pheable to	H	H	Н	M	M	L		M	M	L	L		
caen sechano)		H	M	L	M	L	L		M	L	L	L		
Final Risk Catego	orv	H	H	H	M	M	M		M	M	L	L		
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